

# Asymptotic Structure

Aim: to skate over the theory (background to Friedrich, Bray...)

The question: what is an isolated body in GR?

The answer: One with a metric which is asymptotically flat  
(or de Sitter or anti-de Sitter)

which means "the same at infinity".

The idea: make infinity a finite boundary by rescaling metric

then "fields at large distances" becomes "fields on the boundary"

(a separation of fields from arena)

So: what is infinity like for de Sitter, anti-de Sitter, Minkowski?

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Begin with product metric on  $\mathbb{R} \times S^3$ :  $g = dT^2 - dR^2 - \sin^2 R d\omega^2$   
 $\searrow$   
 $d\theta^2 + \sin^2 \theta d\phi^2$

$$R_{\alpha\beta} = \lambda g_{\alpha\beta} : C_{\alpha\beta\gamma\delta} = 0 : 3 \text{ cases}$$

- $\lambda = -3$ : de Sitter

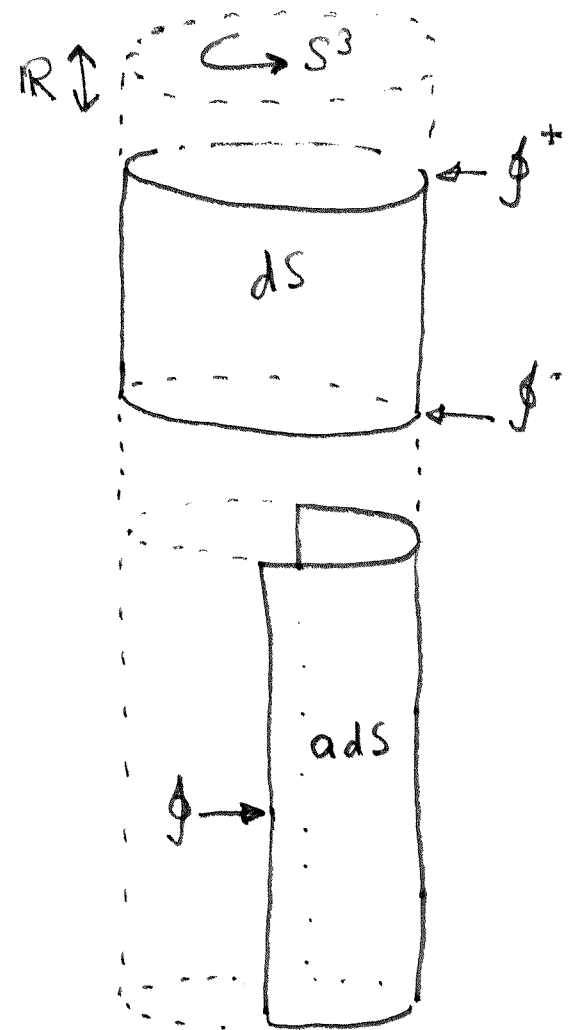
$$g = \Omega^2 [dt^2 - \cosh^2 t (dr^2 + r^2 d\omega^2)]$$

$$\Omega = \text{sech } t = \sin T ; r = R$$

- $\lambda = 3$ : anti-de Sitter

$$g = \Omega^2 [\cosh^2 r dt^2 - dr^2 - \sinh^2 r d\omega^2]$$

$$\Omega = \text{sech } r = \cos R ; t = T$$



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•  $\lambda = 0$ : Minkowski

$$g = \Omega^2 [dt^2 - dr^2 - r^2 d\omega^2]$$

$$\Omega = \cos T + \cos R$$

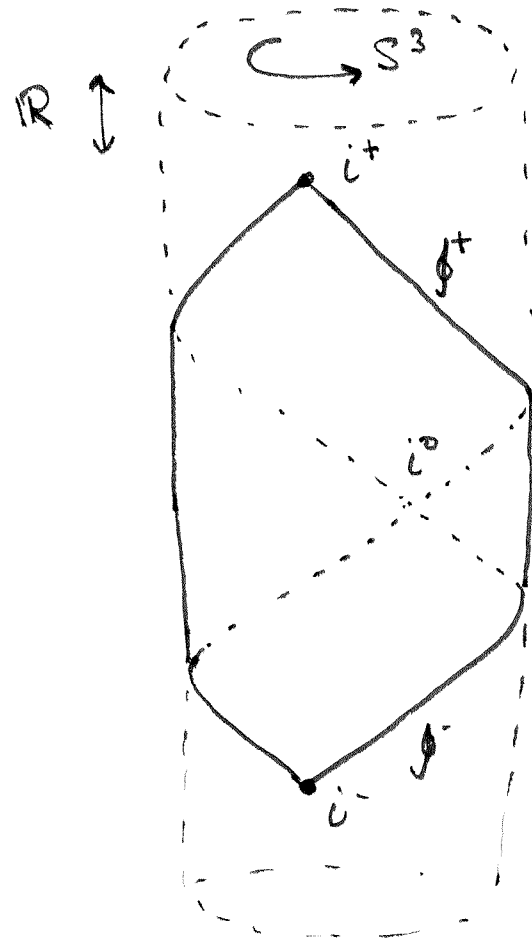
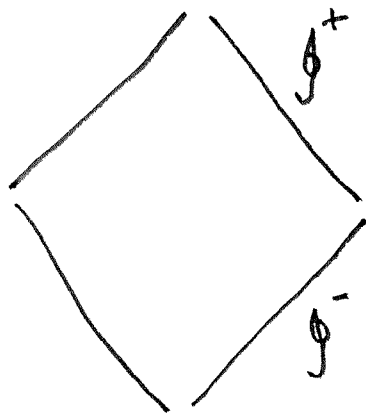
$$= 2 \cos \frac{1}{2}(T+R) \cos \frac{1}{2}(T-R)$$

$$\sin T = 2\Omega t ; \sin R = 2\Omega r$$

cf. Schwarzschild:

$$\Omega^2 \left[ \left(1 - \frac{2M}{r}\right) dt^2 \pm 2dt dr - r^2 d\omega^2 \right]$$

$$\Omega = r^{-1}$$



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So define: a smooth space-time  $(\tilde{M}, \tilde{g})$  is asymptotically simple if

$\exists$  another smooth  $(M, g)$  and a smooth function  $\Omega$  on  $M$  such that

(i)  $M$  is a manifold with boundary  $\mathcal{I}$ ;

(ii)  $\Omega > 0$  on  $M \setminus \mathcal{I}$ ;  $\Omega = 0$ ,  $d\Omega \neq 0$  on  $\mathcal{I}$

(iii)  $\tilde{M} \cong M \setminus \mathcal{I}$  and  $g = \Omega^2 \tilde{g}$  on  $\tilde{M}$

(iv) every null geodesic in  $\tilde{M}$  has 2 endpoints on  $\mathcal{I}$ .

•  $(\tilde{M}, \tilde{g})$  is weakly asymptotically simple if it's isometric to an asymptotically simple  $(\tilde{M}', \tilde{g}')$  near to  $\mathcal{I}$ .

• define  $k$ -asymptotically simple if  $\Omega$  is  $C^k$  (and  $M$  is  $C^{k+1}$ )

• are there any? many? uniqueness?

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Rescaling formulae:

$$g_{\alpha\beta} = \Omega^2 \overset{\text{physical}}{\tilde{g}}_{\alpha\beta} \quad ; \quad g^{\alpha\beta} = \Omega^{-2} \tilde{g}^{\alpha\beta} \quad (1)$$

$$R_{\alpha\beta} = \tilde{R}_{\alpha\beta} - 2\Omega^{-1} \nabla_\alpha \nabla_\beta \Omega - g_{\alpha\beta} (\Omega^{-1} \square \Omega - 3\Omega^{-2} |\nabla \Omega|^2) \quad (2)$$

$$\Omega^2 R = \tilde{R} - 6 \Omega \square \Omega + 12 |\nabla \Omega|^2 \quad (3)$$

Set  $n_\alpha = \nabla_\alpha \Omega =$  normal to  $\mathcal{J}$  and suppose  $\tilde{R}_{\alpha\beta} = \lambda \tilde{g}_{\alpha\beta}$  near  $\mathcal{J}$

$$(2) \Rightarrow 2 \nabla_\alpha n_\beta + 2 \Omega L_{\alpha\beta} - f g_{\alpha\beta} = 0 \quad (4)$$

$$L_{\alpha\beta} = \frac{1}{2} (R_{\alpha\beta} - \frac{1}{6} R g_{\alpha\beta}) \quad ; \quad f = \Omega^{-1} (n^\alpha n_\alpha + \frac{\lambda}{3}) \quad (5)$$

$$(3) \Rightarrow \Omega R + 6 \square \Omega = f \quad (6)$$

First deductions

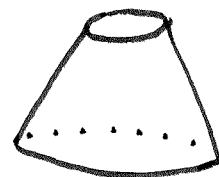
(6)  $\Rightarrow$   $f$  continuous at  $\mathcal{J}$ , (5)  $\Rightarrow$   $\mathcal{J}$  is timelike, spacelike, null as  $\lambda < 0, \lambda > 0, \lambda = 0$

(4)  $\Rightarrow$   $\mathcal{J}$  is umbilic ( $\lambda \neq 0$ ) or shear-free ( $\lambda = 0$ )

Topology of  $\mathcal{J}$  ( $\lambda=0$ ): asymptotic simplicity  $\Rightarrow \exists$  Cauchy surface  $\Sigma$

Consider space of null geodesics  $N \cong \Sigma \times S^2 \cong \mathcal{J}^+ \times \mathbb{R}^2$

$\therefore \mathcal{J}^+ \cong \mathbb{R} \times S^2 (\cong \mathcal{J}^-)$  and  $\Sigma \cong \mathbb{R}^3$



Gauge freedom:

make another choice  $\hat{g}_{\alpha\beta} = \hat{\Omega}^2 \tilde{g}_{\alpha\beta}$  so  $\hat{\Omega} = \Theta \Omega$

then at  $\mathcal{J}$ ,  $\hat{n}_\alpha = \Theta n_\alpha$ ,  $\hat{n}^\alpha = \Theta^{-1} n^\alpha$ ,  $\hat{h}_{\alpha\beta} = \Theta^2 h_{\alpha\beta}$

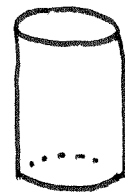
so  $\Gamma_{\alpha\beta}^{\gamma\delta} = h_{\alpha\beta} n^\gamma n^\delta$  is gauge-invt.  $\uparrow$   $g_{\alpha\beta}$  pulled back to  $\mathcal{J}$

Recall (6):  $f = \Omega R + 6 \square \Omega$ , then  $\Theta^2 \hat{f} = 2n^\alpha \Theta_{,\alpha} + f$

gauge choice: for  $\lambda=0$  pick  $f=0$  then (4)  $\Rightarrow \nabla_\alpha n_\beta = 0$  at  $\mathcal{J}^\pm$

$\mathcal{J}$  is now expansion-free as well as shear-free

Residual gauge:  $\Theta$  with  $n^\alpha \Theta_{,\alpha} = 0$



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Go back to (4):  $2 \nabla_\alpha n_\beta + 2 \Omega L_{\alpha\beta} - f g_{\alpha\beta} = 0$  and differentiate:  
(use (5) & Riem)

$$C^3 \quad n^\delta C_{\delta\sigma\alpha\beta} = 2 \Omega \nabla_{[\alpha} L_{\beta]\sigma} \quad (7)$$

$$\boxed{\text{Second deduction}} \quad n^\delta C_{\delta\sigma\alpha\beta} = 0 \quad \underline{\text{at } \mathcal{I}} \quad (8)$$

So for  $\lambda \neq 0$ ,  $C_{\delta\sigma\alpha\beta} = 0$  at  $\mathcal{I}$  but for  $\lambda = 0$ , 2 components remain

Differentiate again and use Bianchi identity:

$$C^4 \quad (n_\delta \nabla_\lambda - n_\lambda \nabla_\delta) C^\delta{}_{\sigma\alpha\beta} = 2 \Omega \nabla_\lambda \nabla_{[\alpha} L_{\beta]\sigma} = 0 \text{ at } \mathcal{I} \quad (9)$$

and this gives an equation on  $\mathcal{I}^+ \cong S^2 \times \mathbb{R}$  which forces  $C_{\alpha\beta\gamma\delta} = 0$

This fact finds expression in the Peeling Theorem. at  $\mathcal{I}$

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Peeling Theorem: for this we need spinors so

$$T_C M = S \otimes \bar{S} \quad ; \quad V^\alpha = V^{AA'} \quad ; \quad g_{\alpha\beta} = \epsilon_{AB} \epsilon_{A'B'}$$

$$C_{\alpha\beta\gamma\delta} = \Psi_{ABCD} \epsilon_{A'B'} \epsilon_{C'D'} + \bar{\Psi}_{A'B'C'D'} \epsilon_{AB} \epsilon_{CD}$$

Weyl spinor; symmetric; 5 cplx comp.

$\Gamma$  affine in  $\tilde{M}$

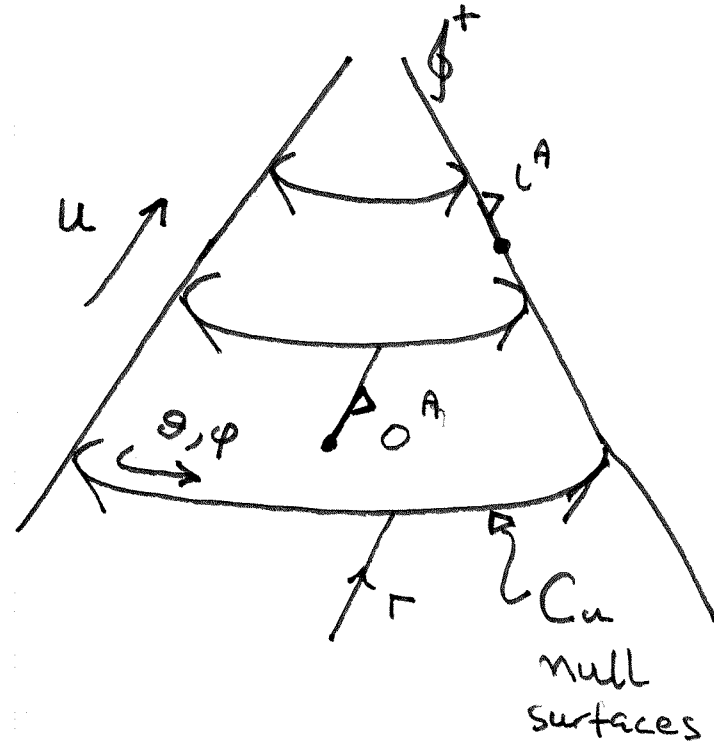
$\Gamma \Omega \rightarrow 1$  at  $\mathcal{I}^+$

normalised dyad  $(o^A, \iota^A)$ ;  $\epsilon_{AB} o^A \iota^B = 1$

Rescaling:  $\epsilon_{AB} = \Omega \tilde{\epsilon}_{AB}$

$$\Psi_{ABCD} = \tilde{\Psi}_{ABCD}$$

and take components in the dyad.





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$$\psi_0 = \psi_{ABCD} o^A o^B o^C o^D; \quad \psi_1 = \psi_{ABCD} o^A o^B o^C l^D$$

$$\psi_2 = \psi_{ABCD} o^A o^B l^C l^D; \quad \psi_3 = \psi_{ABCD} o^A l^B l^C l^D; \quad \psi_4 = \psi_{ABCD} l^A l^B l^C l^D$$

$$\text{Now } L_A \bar{L}_{A'} = \eta_{AA'} = -\nabla_\alpha \Omega \sim \Omega^2 \nabla_\alpha \Gamma = \Omega^2 \tilde{L}_A \bar{\tilde{L}}_{A'}$$

$$\text{so choose } L_A = \Omega \tilde{L}_A; \quad o_A = \tilde{o}_A; \quad l^A = \tilde{l}^A; \quad o^A = \Omega^{-1} \tilde{o}^A$$

$$C^3 \quad (8) \Rightarrow \psi_{ABCD} l^D = 0 \text{ at } \mathcal{I} \Rightarrow \psi_1 = \psi_2 = \psi_3 = \psi_4 = 0 \text{ at } \mathcal{I}$$

$$C^4 \quad (9) \Rightarrow l^A \nabla_{AA'} \psi_{BCDE} = 0 \text{ --- } \Rightarrow \psi_0 = 0 \text{ at } \mathcal{I}; \quad \Omega^{-1} \psi_{ABCD} \text{ cont. at } \mathcal{I}$$

$$\text{so } \tilde{\psi}_4 = \psi_4 = \Gamma^{-1} \psi_4^0 + o(\Gamma^{-1})$$

$$\tilde{\psi}_3 = \Omega \psi_3 = \Gamma^{-2} \psi_3^0 + o(\Gamma^{-2})$$

$$\tilde{\psi}_2 = \Omega^2 \psi_2 = \Gamma^{-3} \psi_2^0 + o(\Gamma^{-3})$$

$$\tilde{\psi}_1 = \Omega^3 \psi_1 = \Gamma^{-4} \psi_1^0 + o(\Gamma^{-4})$$

$$\tilde{\psi}_0 = \Omega^4 \psi_0 = \Gamma^{-5} \psi_0^0 + o(\Gamma^{-5})$$

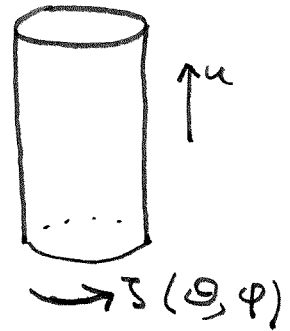
Peeling!

Departures from this signal  
lower differentiability at  $\mathcal{I}^+$

# Universal structure at $\mathcal{I}^+$ : ( $\lambda=0$ ) (Arena)

Set  $f=0$ ; use residual freedom to set

$$h = - \frac{4 d\mathcal{I}d\bar{\mathcal{I}}}{(1+\mathcal{I}\bar{\mathcal{I}})^2} \quad \text{unit sphere}$$



(Bondi coords)

choose  $u$  by  $n^\alpha u_{,\alpha} = 1$

symmetries :  $\mathcal{I} \rightarrow \hat{\mathcal{I}} = \frac{a\mathcal{I}+b}{c\mathcal{I}+d} \quad SL(2, \mathbb{C}) \cong O_+^\uparrow(1,3)$

$$h \rightarrow \hat{h} = V^{-2} h, \quad V = (1+\mathcal{I}\bar{\mathcal{I}})^{-1} (|a\mathcal{I}+b|^2 + |c\mathcal{I}+d|^2)$$

$$u \rightarrow \hat{u} = V^{-1} (u + \alpha(\mathcal{I}, \bar{\mathcal{I}}))$$

$\uparrow$  "supertranslation"

the BMS group

e.g.  $\alpha = \alpha_0 + \alpha_1 \sin\theta \cos\varphi + \alpha_2 \sin\theta \sin\varphi + \alpha_3 \cos\theta$

$\uparrow$  translation

$\exists!$  4p normal subgroup

Fields at  $\mathcal{I}$ :  $\nabla_\alpha$ ;  $\psi_i^0$ ; matter fields

Momentum

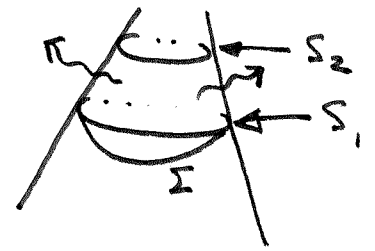
$$P_\mu = \int_S ( \quad ) (1, \sin\theta \cos\varphi, \sin\theta \sin\varphi, \cos\theta) dS$$

- $P[S_1] - P[S_2]$  is time-like, future pointing "Mass-loss" with radiation.
- $P[S_1]$  is time-like (given conditions on  $\Sigma$ ) "Positive mass" D.E.C.

Angular Momentum?

$$M_{\mu\nu} = \int_S ( \quad ) m_{\mu\nu}(\theta, \varphi) dS$$

agreement for stationary, not with radiation; origins?



# The Penrose Inequality

vacuum,  $H = \partial(\text{past of } \mathcal{J}^+) = \text{horizon}$

$M_0 = \text{ADM mass at } \Sigma$

$m_\infty = \text{final Bondi mass}$

$A_0 = \text{area of } S^0$

$A_\infty = \text{final area of section of } H$

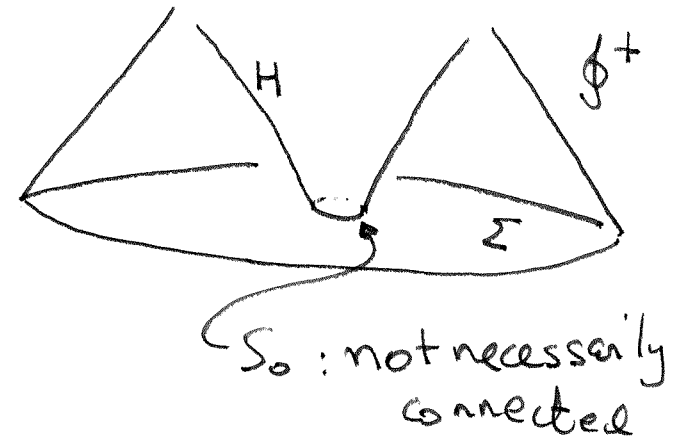
mass-loss  $\Rightarrow M_0 \geq m_\infty$

horizon area thm  $\Rightarrow A_\infty \geq A_0$

black hole uniqueness  $\Rightarrow 16\pi M_\infty^2 \geq A_\infty$  (assuming "settles")

so  $16\pi M_0^2 \geq 16\pi M_\infty^2 \geq A_\infty \geq A_0$

i.e.  $16\pi M_0^2 \geq A_0$



## Existence theorems: are there solutions with $\mathcal{J}$ ?

- Christodoulou - Klainerman:  $\lambda = 0$

small, strongly asymp. flat data  $\rightarrow$  Minkowski along any geodesic

$\Psi_2, \Psi_3, \Psi_4$  peel but not  $\Psi_0, \Psi_1$ . ( $\mathcal{J}$  not  $C^3$ )

- Friedrich:  $\lambda < 0$

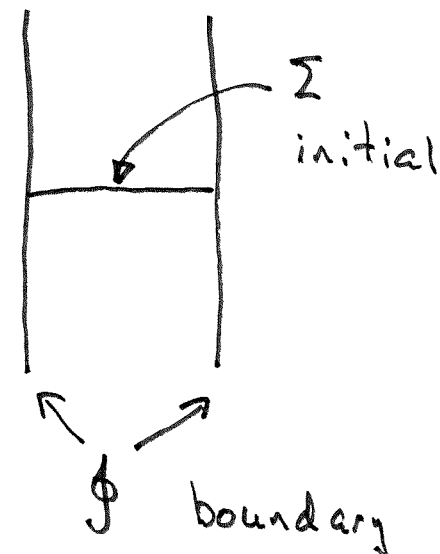
data close to de Sitter  $\Rightarrow$  asymptotically simple

data on  $\mathcal{J}^- \Rightarrow$  asymptotically simple

- Friedrich:  $\lambda > 0$

$\exists$  a boundary-initial-value problem

for asymptotically simple



- Friedrich :  $\lambda = 0$  / <sup>smooth</sup> hyperboloidal data  $\Rightarrow$  asymp. simple  
small  $\Rightarrow \exists i^+$

A.C.F.  $\exists$  smooth hyperboloidal data

&  $\exists$  phg hyperboloidal data



- using this  $\Updownarrow \exists$  Cauchy data with smooth  $\mathcal{F}^+$  (C.D.; K.N.)  
(C.W. too)

- The Big Question: which Cauchy data evolve  
to give a smooth  $\mathcal{F}$  ?